

FORM L-35-DOWNGRADING OF INVESTMENTS



[IRDAI Registration No.135 dated 19th December 2007]

Ageas Federal Life Insurance Co Ltd (Formerly known as IDBI Federal Life Insurance Co. Ltd). Registered Office: 22nd Floor, A Wing, Marathon Futurex, N M Joshi Marg, Lower Parel (East), Mumbai 400013 www.ageasfederal.com Corporate Identity Number (CIN) – U66010MH2007PLC167164

Name of Fund: LIFE - LIFE FUNDS

Statement of Down Graded Investments | Statement as on: 30th June 2021 | Periodicity of Submission: Quarterly

₹ in Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>									
<u>During the Quarter <sup>1</sup></u>									
-----NIL-----									
<b>B.</b>									
<u>As on Date <sup>2</sup></u>									
1	9.63% IDFC FIRST BANK LIMITED M-02/01/2024 (FORMERLY KNOWN AS IDFCBANK)	ECOS	1000.00	07/01/2014	ICRA	AAA	AA	21/05/2019	NA
2	8.75% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD. M-23/01/2025	IORD	1000.00	23/01/2015	ICRA	AAA	D	18/09/2018	NA
3	8.65% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-09/03/2028#	IORD	500.00	09/03/2018	ICRA	AAA	D	18/09/2018	Refer Note#

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 15-Jul-2021

**Note:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. \*Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

5. # With respect to the 8.65% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-09/03/2028 security, date of original purchase is 09/03/2018 and said security was transferred from PENSION fund to LIFE fund on 30/11/2018 to comply the IRDAI Investment regulation - master circular 4.9 (1) Other Investments in Pension and Group Fund.

**Signature**

Full name: SRI PRASAD PRABHU

Designation: CHIEF INVESTMENT OFFICER

## FORM L-35-DOWNGRADING OF INVESTMENTS



[IRDAI Registration No.135 dated 19th December 2007]

Name of Fund: ULIP FUNDS

Statement of Down Graded Investments | Statement as on: 30th June 2021 | Periodicity of Submission: Quarterly

₹ in Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>									
<b>During the Quarter <sup>1</sup></b>									
-----NIL-----									
<b>B.</b>									
As on Date <sup>2</sup>									
1	8.33% PNB HOUSING FINANCE LTD M-01/09/2021	HTDN	503.29	01/06/2016	INDIA RATING(FITCH)	AAA	AA	09/03/2020	NA
2	7.59% PNB HOUSING FINANCE LTD M-27/07/2022	HTDN	1028.28	02/08/2017	INDIA RATING(FITCH)	AAA	AA	09/03/2020	NA
3	8.70% IDFC FIRST BANK LIMITED M-20/05/2025 (FORMERLY KNOWN AS IDFCBANK)	ECOS	1066.94	02/08/2017	ICRA	AAA	AA	22/05/2019	NA
4	9.36% IDFC FIRST BANK LIMITED M-21/08/2024 (FORMERLY KNOWN AS IDFCBANK)	ECOS	548.47	27/01/2010	ICRA	AAA	AA	21/05/2019	NA
5	7.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-24/10/2021#	IORD	0.00	24/10/2016	ICRA	AAA	D	18/09/2018	Refer Note 5#

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Signature :

Full name: SRI PRASAD PRABHU

Designation: CHIEF INVESTMENT OFFICER

Date: 15-Jul-2021

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- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04
- 5# Investment Assets for ULIP includes impact of 100% provision for dimunition in value of investments of IL&FS.

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₹ in Lakhs									
A.				<b>During the Quarter <sup>1</sup></b>					
				-----NIL-----					
B.				<b>As on Date <sup>2</sup></b>					
				-----NIL-----					

**CERTIFICATION**

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

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